



Equity/Fixed Income CSR Dashboard and Exception Management Application Development

BUSINESS NEED

- The product is an EQ/Fixed Income dashboard platform that is used internally by the CSRs.
- The CSR Dashboard is an internal application, accessed via the PrimeView portal, which currently provides Client Service and Collateral Management teams (CSRs and CMs, respectively) a view to various margin exceptions across the all Prime Brokerage families they service.
- The current focus is on extending operational content and alert functionality for CSRs.
- Long-term content/participants may include regulatory margin for CMs and a dashboard for the Geneva team.

enableIT SOLUTION

- enableIT used SDLC methodology to cater to rapidly changing CSR requirements and need to take this solution to the CSRs in short time frame.
- Implementation included development of a Dashboard that was extended to support Middle Office and Back Office functions.
- enableIT used message based architecture to enable asynchronous messaging needs of the system.
- This solution supported a BUILD of business rules which cater to different business events on the dashboard

VALUE OF INVESTMENT TO CUSTOMER

- Current Dashboard is built in TIBCO, but the new version is currently being rewritten in Flex, which Prime Brokerage wishes to implement.
- No workflow is expected to be implemented in this phase, which is concentrated on CSR features/content; however, they may be a future requirement, particularly for usage by non-CSR communities seeking a Dashboard solution.
- This Dashboard lets the CSRs contact their clients even before the clients receive notifications about

TECHNICAL DOMAIN

Java, J2EE, JMS, Spring, IBM MQ, Flex, Weblogic, Oracle for Backend

BUSINESS DOMAIN

Middle Office, Back Office

